

POLICY AND RESOURCES COMMITTEE – 13 MARCH 2023

PART I – DELEGATED

7. APPOINTMENTS TO THREE RIVERS COMPANIES

(CEO)

1. Summary

- 1.1 The purpose of the report is to note the appointment of replacement officers onto the Council companies, Three Rivers Commercial Services Ltd, Three Rivers Homes LLP and Three Rivers Homes Ltd, with the upcoming retirement of the Director of Community & Environmental Services (DCES).

2. Details

- 2.1 The current Director of Community & Environmental Services is named as a Director of three separate Companies as part of his role with Three Rivers District Council.
- 2.2 With the upcoming retirement of the current DCES, there is a need for Three Rivers District Council to replace these appointments with new Directors from its current establishment.
- 2.3 The Director/s appointed by TRDC should attend Board meetings to represent the Council's interests, consistent with their duties as a Director and the requirements of the Companies Act 2006.

3. Options and Reasons for Recommendations

- 3.1 Under the delegation given by Council on 20 March 2017 the Chief Executive is able to approve the appointment of any officer of the Council as a Director of Three Rivers Homes Ltd (RentCo) Three Rivers Commercial Services Ltd (Tradco) and to the Partnership Board of Three Rivers Developments LLP (Sale LLP).
- 3.2 Under the delegation given by Council the Panel and Committee are asked to note the appointments as follows:
- 3.3 That Joanne Wagstaffe, Chief Executive, is appointed a Director of Three Rivers Homes Limited, replacing the Director of Community & Environmental Services.
- 3.4 That Kimberley Grout, Executive Head of Services, is appointed as a Director of Three Rivers Developments LLP and Three Rivers Commercial Services replacing the Director of Community & Environmental Services.

4. Policy/Budget Reference and Implications

- 4.1 There are no policy or budget implications.

5. Financial, Equal Opportunities, Staffing, Environmental, Community Safety, Public Health, Customer Services Centre, Communications & Website,

- 5.1 None specific.

6. Legal Implications

6.1 There are no specific legal issues arising from this report.

7. Risk Management and Health & Safety Implications

7.1 The Council has agreed its risk management strategy which can be found on the website at <http://www.threerivers.gov.uk>. In addition, the risks of the proposals in the report have also been assessed against the Council's duties under Health and Safety legislation relating to employees, visitors and persons affected by our operations. The risk management implications of this report are detailed below.

7.2 Any risks resulting from this report will be included in the risk register and, if necessary, managed within this/these plan(s).

Nature of Risk	Consequence	Suggested Control Measures	Response <i>(tolerate, treat terminate, transfer)</i>	Risk Rating <i>(combination of likelihood and impact)</i>
Failure to appoint Council representatives	Could mean that business is difficult to transact in the organisations	The suggested Council representative is appointed	Treat	1 Likelihood and 3 Impact

7.3 The above risks are scored using the matrix below. The Council has determined its aversion to risk and is prepared to tolerate risks where the combination of impact and likelihood scores 6 or less.

Very Likely ----- Likelihood ----- Remote	Low 4	High 8	Very High 12	Very High 16
	Low 3	Medium 6	High 9	Very High 12
	Low 2	Low 4	Medium 6	High 8
	Low 1	Low 2	Low 3	Low 4
	Impact Low -----> Unacceptable			

Impact Score	Likelihood Score
4 (Catastrophic)	4 (Very Likely (≥80%))
3 (Critical)	3 (Likely (21-79%))
2 (Significant)	2 (Unlikely (6-20%))
1 (Marginal)	1 (Remote (≤5%))

7.4 In the officers' opinion none of the new risks above, were they to come about, would seriously prejudice the achievement of the Strategic Plan and are therefore operational risks. The effectiveness of the management of operational risks is reviewed by the Audit Committee annually.

8. Recommendation

8.1 That the appointment to the following roles are noted.

- Appoint the Chief Executive as a Director of Three Rivers Homes Limited.
- Appoint the Executive Head of Services as a Director of Three Rivers Developments LLP and Three Rivers Commercial Services.

Report prepared by: Jamie Russell

Data Quality

Data sources: None

Data checked by: Matthew Barnes, Principal Lawyer, Hannah Doney, Head of Finance, Sarah Haythorpe, Principal Committee Manager

Data rating:

1	Poor	
2	Sufficient	√
3	High	

Background Papers

None

APPENDICES / ATTACHMENTS

None