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Three Rivers District Council

Audit Committee Progress Report - Update on Internal Audit Recommendations Log

26 July 2016

Recommendation

Members are recommended to:

* Agree removal of implemented audit recommendations (Appendices 3-9)
* Agree changes to the implementation dates for the 20 audit recommendations listed in section 2.2 for the reasons set out in Appendices 3 to 9

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1. Introduction and Background

Purpose of Report

1.1 This report details implementation status of all previously agreed audit recommendations from 2009/10 onwards.

2. Audit Plan Update

All Priority Audit Recommendations

2.1 Members will be aware that a Final Audit Report is issued when agreed by Management. This includes an agreement to implement the recommendations made. It is SIAS’s responsibility to bring to Members’ attention the implementation status of recommendations; it is the responsibility of officers to implement the recommendations by the agreed date.

2.2 The table below summarises progress in implementation of all outstanding internal audit recommendations at July 2016, with full details given in appendices 3 to 9:

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Year | Recommendations made  No. | Implemented | Not yet due | Outstanding  & request made for extended time | Percentage implemented  % |
| 2009/10 | 144 | 142 | 0 | 2 | 99% |
| 2010/11 | 180 | 179 | 0 | 1 | 99% |
| 2011/12 | 102 | 99 | 0 | 3 | 97% |
| 2012/13 | 36 | 35 | 0 | 1 | 97% |
| 2013/14 | 79 | 77 | 0 | 2 | 97% |
| 2014/15 | 67 | 57 | 1 | 9 | 85% |
| 2015/16 | 29 | 27 | 0 | 2 | 93% |

Since March / June 2016 Audit Committees, extension to implementation dates have been requested by action owners for 20 recommendations as follows:

1. Two from the 2009/10 Network Infrastructure audit,
2. One from the 2010/11 IT Remote Working audit,
3. One from the 2011/12 IT Project Management audit,
4. Two from the 2011/12 IT Back-Up and Disaster Recovery audit,
5. One from the 2012/13 Server Virtualisation audit,
6. One from the 2013/14 Cyber Risk audit,
7. \*\*One from the 2013/14 Health & Safety audit,
8. One from the 2014/15 NDR audit,
9. \*\*Two from the 2014/15 Asset Management audit,
10. Two from the 2014/15 Enforcement audit,
11. One from the 2014/15 Benefits audit,
12. Three from the 2014/15 Disaster Recovery audit,
13. \*\*One from the 2015/16 Diesel Usage audit, and
14. One from the 2015/16 Contract Management audit.

\*\* Extension dates for these recommendations were not agreed by March Audit Committee and are covered in a separate report from officers as presented to the July meeting of Audit Committee.